

INTERNAL AUDIT SECTION - AUDITS FINALISED 2016/17

Head of Service	Audit	Date Finalised	Opinion Rating	Recommendations		
				Made	Agreed	Not Agreed
Child & Family Services	Community Childcare	04/10/16	High	3	3	0
Corporate Building & Property Services	Quadrant Rents	25/07/16	High	0	0	0
Corporate Building & Property Services	Estates Management	16/08/16	High	1	1	0
Education Improvement	Education Library Resource Service	12/12/16	High	1	1	0
Education Learner Support Service	Lifelong Learning	20/05/16	High	5	5	0
Education Planning & Resources	Pen-y-Fro Primary School	20/04/16	High	4	4	0
Education Planning & Resources	Grange Primary School	27/05/16	High	3	3	0
Education Planning & Resources	Morriston Comprehensive School	13/01/17	High	8	8	0
Education Planning & Resources	Morriston Primary School	20/03/17	High	5	5	0
Education Planning & Resources	Clwyd Community Primary School	30/03/17	High	6	6	0
Finance & Delivery	Housing & Council Tax Benefit 2015/16	14/07/16	High	3	3	0
Finance & Delivery	Accounts Payable 2015/16	25/07/16	High	5	5	0
Finance & Delivery	Insurance	02/08/16	High	3	3	0
Finance & Delivery	Pension Fund Other	05/09/16	High	0	0	0
Finance & Delivery	Trusts & Charities	13/09/16	High	7	4	3
Finance & Delivery	NNDR 2015/16	04/10/16	High	3	3	0
Finance & Delivery	Cash	12/01/17	High	4	4	0
Finance & Delivery	Main Accounting 2016/17	22/03/17	High	2	2	0
Housing & Public Protection	Taxi Licencing	19/08/16	High	0	0	0
Housing & Public Protection	Town Centre DHO	27/09/16	High	5	5	0
Housing & Public Protection	Housing Division (Pests & Strays)	02/11/16	High	4	4	0
Housing & Public Protection	Rent & Arrears Team	30/03/17	High	6	6	0
Human Resources & Organisational Development	Teachers Pensions	02/03/17	High	0	0	0
Legal & Democratic Services	Coroners Service	16/08/16	High	3	3	0
Legal & Democratic Services	Councillors Expenses and Allowances	13/19/2016	High	2	2	0
Legal & Democratic Services	Councillors Code of Conduct	20/10/16	High	3	3	0
Poverty & Prevention	Outdoor Environmental Residential Education Service	28/07/16	High	4	4	0

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Adult Services	Social Services Establishments	05/04/16	Substantial	7	7	0
Child & Family Services	Emergency Duty Team	10/10/16	Substantial	9	8	1
Child & Family Services	Discretionary Payments	06/02/17	Substantial	13	13	0
Child & Family Services	Leaving Care Act	30/03/17	Substantial	11	11	0
Commercial Services	Purchasing Cards	30/03/17	Substantial	4	4	0
Communications & Customer Engagement	Design Print	12/04/16	Substantial	25	25	0
Communications & Customer Engagement	Corporate Marketing	17/01/17	Substantial	4	4	0
Communications & Customer Engagement	Contact Centre	02/02/17	Substantial	3	3	0
Computer Audits	Procurement of Telephones	06/09/16	Substantial	5	5	0
Corporate Building & Property Services	Corporate Building Services - Stores	21/11/16	Substantial	12	11	1
Corporate Building & Property Services	Day to Day Repairs - Maintenance Section	06/12/16	Substantial	5	4	0
Corporate Building & Property Services	CBS (Heol y Gors) Plant	21/03/17	Substantial	8	8	0
Cultural Services	Plantasia	13/04/16	Substantial	11	11	0
Cultural Services	Foreshore & Lettings	06/07/16	Substantial	3	3	0
Cultural Services	Swansea Museum	25/07/16	Substantial	8	8	0
Cultural Services	Sports Development	07/09/16	Substantial	8	8	0
Cultural Services	Spot Checks	31/10/16	Substantial	7	7	0
Economic Regeneration & Planning	Rights of Way	30/08/16	Substantial	5	4	1
Economic Regeneration & Planning	Planning Services Administration - Fees	22/11/16	Substantial	10	10	0
Economic Regeneration & Planning	Section 106 Agreements	13/12/16	Substantial	2	2	0
Education Improvement	St Helen's Welsh Centre & Translation Unit	07/10/16	Substantial	15	15	0
Education Learner Support Service	Access to Learning - Management & Admin	09/08/16	Substantial	13	13	0
Education Planning & Resources	Pennard Primary School	01/04/16	Substantial	8	8	0
Education Planning & Resources	Crwys Primary	12/04/16	Substantial	16	15	1
Education Planning & Resources	Cadle Primary School	19/04/16	Substantial	10	10	0
Education Planning & Resources	Pontarddulais Primary School	28/04/16	Substantial	14	13	1

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Education Planning & Resources	Gwyrosydd Primary School	04/05/16	Substantial	13	13	0
Education Planning & Resources	Cwmglas Primary School	17/05/16	Substantial	12	8	4
Education Planning & Resources	YGG Gyfun Gwyr	30/06/16	Substantial	9	9	0
Education Planning & Resources	YGG Pontybrenin	25/07/16	Substantial	11	11	0
Education Planning & Resources	Penyrheol Primary School	22/09/16	Substantial	8	8	0
Education Planning & Resources	Bishop Vaughan Comprehensive School	09/11/16	Substantial	4	4	0
Education Planning & Resources	St Joseph's Catholic Primary	12/01/17	Substantial	5	5	0
Education Planning & Resources	Olchfa Comprehensive School	18/01/17	Substantial	21	21	0
Education Planning & Resources	Hafod Primary School	31/01/17	Substantial	4	4	0
Education Planning & Resources	YGG Felindre	06/02/17	Substantial	7	7	0
Education Planning & Resources	Glyncollen Primary School	09/03/17	Substantial	11	11	0
Education Planning & Resources	St Davids RC Primary School	20/03/17	Substantial	6	6	0
Education Planning & Resources	Pen y Bryn Special School	28/03/17	Substantial	10	10	0
Education Planning & Resources	Pentrechwyth Primary School	31/03/17	Substantial	11	11	0
Finance & Delivery	Accounts Receivable 2015/16	02/11/16	Substantial	5	5	0
Finance & Delivery	Cashiers Office	06/12/16	Substantial	9	9	0
Finance & Delivery	Grants Receivable	24/01/17	Substantial	13	13	0
Finance & Delivery	Car Loans	31/03/17	Substantial	10	9	1
Highways & Transportation	Highways Trading Account	12/08/16	Substantial	7	7	0
Highways & Transportation	Civil Parking Enforcement	17/10/16	Substantial	10	9	1
Highways & Transportation	Clydach Depot - Stores	07/12/16	Substantial	6	6	0
Highways & Transportation	CTU Pipehouse Stores	07/12/16	Substantial	8	8	0
Housing & Public Protection	Housing Options	28/07/16	Substantial	14	14	0
Housing & Public Protection	Penlan DHO	31/03/17	Substantial	15	15	0
Human Resources & Organisational Development	Employee Services (Payroll) 2015/16	24/05/16	Substantial	11	11	0
Human Resources & Organisational Development	HR Policies Management of Absence	01/08/16	Substantial	12	11	1

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Human Resources & Organisational Development	Employee Vetting	08/11/16	Substantial	7	7	0
Human Resources & Organisational Development	Pensions Admin	13/12/16	Substantial	3	3	0
Human Resources & Organisational Development	Employee Services (Payroll) 2016/17	23/03/17	Substantial	11	11	0
Information & Business Change	Information Management	25/04/16	Substantial	10	10	0
Legal & Democratic Services	Electoral Services inc Register of Electors	07/11/16	Substantial	16	16	0
Poverty & Prevention	Info-Nation	18/04/16	Substantial	10	10	0
Poverty & Prevention	Community Safety	20/06/16	Substantial	4	4	0
Waste Management	Street Cleaning & Refuse	20/05/17	Substantial	8	8	0
Waste Management	Waste Disposal	18/07/16	Substantial	6	6	0
Waste Management	Trade Refuse	25/07/16	Substantial	6	6	0
Child & Family Services	Youth Offending Service	08/02/17	Moderate	20	20	0
Education Planning & Resources	Seaview Primary School	11/05/16	Moderate	16	16	0
Human Resources & Organisational Development	Employment of Agency Staff	30/03/17	Moderate	12	12	0
Total				707	691	15